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FORMALITIES LETTER



OC00000005063094



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/498,206

02/04/2000

Mark S. Adams

443375.00006

James H Beusse
Holland & Knight LLP
PO Box 1526
Orlando, FL 32802-1526



Date Mailed: 04/19/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 820.**

*A copy of this notice **MUST** be returned with the reply.*

M. May
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/22/2000 MPRASASD 00000081 09498206

02 FC:201
03 FC:205

345.00 OP
65.00 OP



Please type a plus sign (+) inside this box →

Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Sector
GAS 2776

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number **09/498,206**

Filing Date **02/04/2000**

First Named Inventor **Adams et al.**

Group Art Unit **2776**

Examiner Name **Not Assigned**

Attorney Docket Number **443375.00006**

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

JAMES H. BEUSSE
HOLLAND & KNIGHT LLP

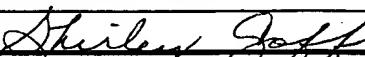
Signature


May 10, 2000

Typed or printed name

SHIRLEY GOFF

Signature



Date

May 10, 2000

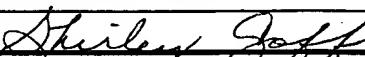
CERTIFICATE OF MAILING

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Signature



Date

May 10, 2000

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant: Adams et al.

Serial No.: 09/498,206

Filed: 02/04/2000

For: A Computerized Method And System)
For Managing And Developing)
Information For Effectuating A)
Syndicated Transaction)

Examiner: Not Assigned

Art Unit: 2776



BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

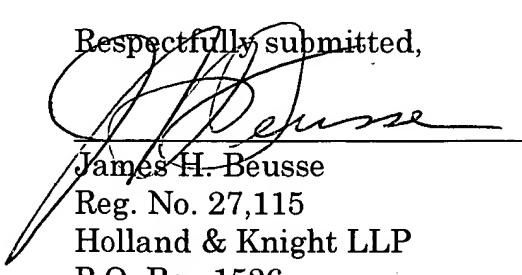
**SUBMISSION OF NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Sir:

In response to the Notice To File Missing Parts Of Application, Filing Date Granted, dated as mailed April 19, 2000 and having a period of response to and including June 19, 2000, enclosed are the following items required for filing in the above-referenced U.S. Patent Application:

1. Check # 2566127 for \$450.00 which is payment of filing fee (\$345.00);
surcharge for a small entity (\$65.00); and assignment (\$40.00).
2. Formalities Letter
3. Statement Claiming Small Entity Status - Independent Inventor
(1 page)
4. Statement Claiming Small Entity Status – Small Business Concern
(1 page)
5. Declaration For Utility Patent Application (3 pages)
6. Recordation Form Cover Sheet and Assignment (4 pages)

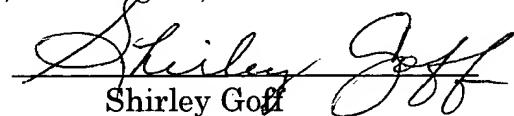
Respectfully submitted,


James H. Beusse

Reg. No. 27,115
Holland & Knight LLP
P.O. Box 1526
Orlando, FL 32802-1526
Phone: (407) 244-1129

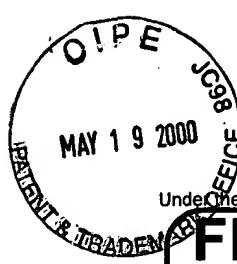
CERTIFICATE OF MAILING

I HEREBY CERTIFY that this Notice to File Missing Parts is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 10th day of May, 2000.


Shirley Goff

ORL1 #476964 v1





MAY 19 2000

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PTO/SB/17 (12/99)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2000

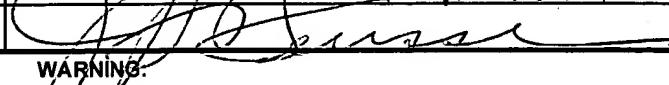
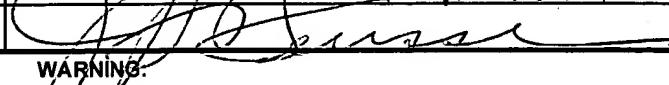
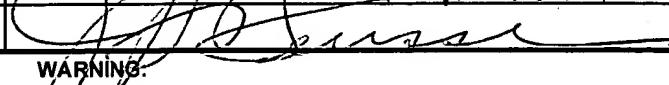
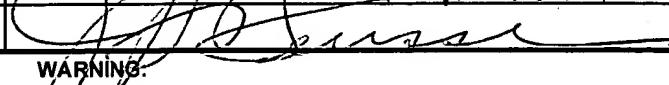
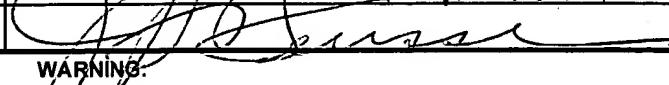
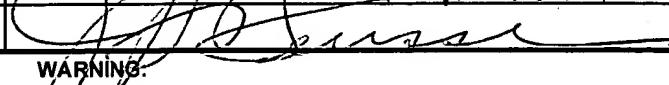
Patent fees are subject to annual revision.

Small Entity payments **must** be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB-09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) **450.00**

Complete if Known

Application Number	09/498,206
Filing Date	02/04/2000
First Named Inventor	Adams et al.
Examiner Name	Not Assigned
Group / Art Unit	2776
Attorney Docket No.	443375.00006

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																																																													
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <input type="text"/></p> <p>Deposit Account Name <input type="text"/></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee</th> <th>Fee</th> <th>Fee</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td></td><td>Surcharge - late filing fee or oath</td><td>65.00</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td></td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td></td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td></td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td><td></td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td><td></td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td></td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td></td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td><td></td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td><td></td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td></td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td></td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td><td></td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td><td></td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td></td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td>146</td><td>690</td><td>246</td><td>345</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>690</td><td>249</td><td>345</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td colspan="4">Other fee (specify) <input type="text"/></td> <td colspan="4"></td></tr> <tr><td colspan="4">Other fee (specify) <input type="text"/></td> <td colspan="4"></td></tr> <tr> <td colspan="4">SUBTOTAL (1) (\$) 345.00</td> <td colspan="4">SUBTOTAL (3) (\$) 105.00</td> </tr> <tr> <td colspan="8"> <p>* Reduced by Basic Filing Fee Paid</p> <p>SUBMITTED BY</p> <table border="1"> <tr> <td>Name (Print/Type)</td> <td>JAMES H. BEUSSE</td> <td>Registration No. (Attorney/Agent)</td> <td>27,115</td> <td>Telephone</td> <td>407-244-1129</td> </tr> <tr> <td>Signature</td> <td colspan="4"></td> <td>Date</td> <td>May 10, 2000</td> </tr> </table> </td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee	Fee	Fee	Fee Description	Fee Paid	105	130	205	65		Surcharge - late filing fee or oath	65.00	127	50	227	25		Surcharge - late provisional filing fee or cover sheet.		139	130	139	130		Non-English specification		147	2,520	147	2,520		For filing a request for reexamination		112	920*	112	920*		Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action		115	110	215	55		Extension for reply within first month		116	380	216	190		Extension for reply within second month		117	870	217	435		Extension for reply within third month		118	1,360	218	680		Extension for reply within fourth month		128	1,850	228	925		Extension for reply within fifth month		119	300	219	150		Notice of Appeal		120	300	220	150		Filing a brief in support of an appeal		121	260	221	130		Request for oral hearing		138	1,510	138	1,510		Petition to institute a public use proceeding		140	110	240	55		Petition to revive - unavoidable		141	1,210	241	605		Petition to revive - unintentional		142	1,210	242	605		Utility issue fee (or reissue)		143	430	243	215		Design issue fee		144	580	244	290		Plant issue fee		122	130	122	130		Petitions to the Commissioner		123	50	123	50		Petitions related to provisional applications		126	240	126	240		Submission of Information Disclosure Stmt		581	40	581	40		Recording each patent assignment per property (times number of properties)	40.00	146	690	246	345		Filing a submission after final rejection (37 CFR § 1.129(a))		149	690	249	345		For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) <input type="text"/>								Other fee (specify) <input type="text"/>								SUBTOTAL (1) (\$) 345.00				SUBTOTAL (3) (\$) 105.00				<p>* Reduced by Basic Filing Fee Paid</p> <p>SUBMITTED BY</p> <table border="1"> <tr> <td>Name (Print/Type)</td> <td>JAMES H. 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WARNING:

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